

Introductory readings on regulation theory

The following books provide an introduction to the very rich and diverse field of regulatory theory. They have been chosen because they provide useful starting points for a study of regulation and governance - but there are many other books and articles that could be included on this list.

Before embarking on your scholarly journey into the fields of regulation and governance, we encourage everyone to read: • Braithwaite V (2006) [Ten Things You Need to Know about Regulation and Never Wanted to Ask](#). *RegNet Occasional Paper No. 10*.

Books:

- I Ayres and J Braithwaite, *Responsive Regulation*, Oxford University Press, Oxford, 1992 – an extremely influential book on regulatory theory and policy which develops and explains the idea of responsive regulation
- Baldwin R, Cave M & Lodge M (2011) *Understanding Regulation*. Theory, Strategy and Practice. 2nd ed. New York: Oxford University Press.
- Baldwin R, Scott C & Hood C (1998) *A Reader on Regulation*, Oxford: Oxford University Press.
- Braithwaite, J. 2003. *Restorative Justice and Responsive Regulation*. Oxford: Oxford University Press.
- Braithwaite, J. and P. Drahos. 2000. *Global Business Regulation*. Cambridge: Cambridge University Press.
- Drahos, P. (ed). 2017. *Regulatory Theory: Foundations and Applications*. Australia: Australian National University Press. ([Download for free](#))
- Gunningham, N., P. Grabosky and D. Sinclair. 1998. *Smart Regulation: Designing Environmental Policy*. Oxford: Oxford University Press.
- Haines F (2011) *Paradoxes of Regulation: What Regulation Can Achieve and What it Cannot*. Cheltenham: Edward Elgar.
- Holley C, Gunningham N & Shearing C (2012). *The New Environmental Governance*. London: Routledge.
- Jordana J & Levi-Faur, D. (2004) *The Politics of Regulation. Institutions and Regulatory Reforms for the Age of Governance*. Cheltenham: Edward Elgar.
- Levi-Faur D (2011) *Handbook on the Politics of Regulation*. Cheltenham: Edward Elgar.
- A Ogus, *Regulation: Legal Form and Economic Theory*, Clarendon Press, Oxford, 1994 – an introduction to regulatory theory, with a strong economic perspective
- Potoski M & Prakash A (2009) *Voluntary Programs: A Club Theory Perspective*. Cambridge: MIT Press.
- Power M (1999) *The Audit Society: Rituals of Verification*. Oxford: Oxford University Press.
- Tyler T (1990) *Why People Obey the Law*. New Haven: Yale University Press.
- Wood J & Shearing C. 2007. *Imagining Security*. Cullompton: Willan Publishing.

Journal Articles:

- Baldwin R & Hutter B (2008) [Really Responsive Regulation](#). *Modern Law Review* 71(1): 59-94.
- Black J (2002) [Critical Reflections on Regulation](#). *Australian Journal of Legal Philosophy* 27: 1-35.

- Braithwaite J, Coglianese C & Levi-Faur D. (2007) [Can Regulation and Governance Make a Difference?](#) *Regulation & Governance* 1: 1-7.
- Braithwaite J (2011) [The Essence of Responsive Regulation](#). *University of British Columbia Law Review* 44: 475-520.
- Braithwaite V (2004) [The Hope Process and Social Inclusion](#). *Annals of the American Academy of Political and Social Science* 592: 128-151.
- Braithwaite V, Murphy K & Reinhart M (2007) [Taxation Threat, Motivational Postures, and Responsive Regulation](#). *Law & Policy* 29: 137-158.
- Charlesworth H (2005). [Not Waving but Drowning: Gender Mainstreaming and Human Rights in the United Nations](#). *Harvard Human Rights Journal* 18: 1-18.
- Drahos P, Shearing C & Burris S (200) [Nodal Governance as an Approach to Regulation](#). *Australian Journal of Legal Philosophy* 30: 30-58.
- Grabosky P (1995) [Using Non-Governmental Resources to Foster Regulatory Compliance](#). *Governance* 8: 527-550.
- Hutter B (2005). [The Attractions of Risk-based Regulation: accounting for the emergence of risk ideas in regulation. Discussion paper no. 33](#) London: ESRC Centre for Analysis and Risk Regulation.
- Levi-Faur D (2005) [The Global Diffusion of Regulatory Capitalism](#). *The ANNALS of the American Academy of Political and Social Science* 598: 12-32.
- Levi-Faur D (2013) [The Odyssey of the Regulatory State](#). *Law & Policy* 35: 29-50.
- May P (2007) [Regulatory Regimes and Accountability](#). *Regulation & Governance* 1: 8-26.
- Parker C (2000) [Reinventing Regulation Within the Corporation: Compliance-Oriented Regulatory Innovation](#). *Administration & Society* 32: 529-565.
- Sinclair D (1997) [Self-Regulation Versus Command and Control? Beyond False Dichotomies](#). *Law & Policy* 19: 529-559.
- Wright J & Head B (2009) [Reconsidering Regulation and Governance Theory: A Learning Approach](#). *Law & Policy* 31: 192-216.