

## CURRICULUM VITAE

Name: Valerie Ann BRAITHWAITE

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Home page [valeriebraithwaite.com](http://valeriebraithwaite.com)

### **Academic qualifications**

1972 Bachelor of Arts (BA) Degree with Honours (Class I) in Psychology,  
University of Queensland  
Thesis title: Relations among attitudes, values, and commitment  
toward doomsday issues

1979 Doctor of Philosophy (PhD) Degree, University of Queensland  
Thesis title: Exploring value structure: an empirical investigation

### **Present appointments**

2008-20 Professor, School of Regulation and Global Governance (RegNet),  
Australian National University

2019 Chair, TAFE NSW Higher Education Academic Council

### **Main research interests**

The unifying theme of my work is the constructive engagement of individuals and groups in governance and regulation. My work is interdisciplinary, bridging literatures on social psychology, governance and regulation. Methodologically, I use empirical data (quantitative and qualitative) to integrate and build theory to explain defiance of, acquiescence to, and cooperation with authority. The normative intent is to identify the institutional spaces that strengthen 'enlightened' cooperation with authority. The substantive areas in which I work change, but they are connected through these objectives:

(a) identifying institutional practices that generate defiance, undermining the individual's capacity and willingness to cooperate in core facets of social life from family and school to work and governance. Of primary interest are practices that fail to respect social values, challenge the stress and coping capabilities of individuals, induce poor shame management skills, and frustrate basic needs.

(b) demonstrating how social relationships facilitate the engagement of individuals in institutional life. This work focuses on building trust, recognizing shared social values, generating hope and institutionalizing dialogue and generosity within legitimate legislative frameworks.

### **Awards, Honours**

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|------|--|
| 2002 | Recipient of ANU certificate for Exceptional Performance in Equity and Diversity |
| 2005 | Recipient of Vice-chancellor's Award for Career Achievement                      |
| 2009 | Fellow, Academy of the Social Sciences in Australia                              |

### **Previous appointments**

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|---------|---|
| 1974-75 | Part-time Tutor, Department of Psychology, University of Queensland   |
| 1978-79 | Tutor, Department of Psychology, The Australian National University   |
| 1979    | Postdoctoral Fellow and Visiting Lecturer in Social Ecology, University of California, Irvine   |
| 1980-81 | Senior Tutor, Department of Psychology, The Australian National University  |
| 1981-86 | Research Fellow, NH&MRC Social Psychiatry Research Unit, The Australian National University   |
| 1986-90 | Lecturer, Department of Psychology, The Australian National University  |
| 1990    | Senior lecturer, Department of Psychology, The Australian National University   |
| 1991-94 | Fellow, Administration, Compliance and Governability Project, Research School of Social Sciences, Institute of Advanced Studies, The Australian National University |
| 1995-96 | Senior lecturer, Department of Psychology, The Australian National University   |
| 1996-99 | On transfer to the Research School of Social Sciences, The Australian National University   |
| 1998-99 | Associate Director, Research School of Social Sciences, The Australian National University  |
| 1999-05 | Director of the Centre for Tax System Integrity, Research School of Social Sciences, ANU  |
| 2005-06 | Senior Fellow, Regulatory Institutions Network, Research School of Social Sciences, ANU   |
| 2006-08 | Professor and Head, Regulatory Institutions Network, Research School of Social Sciences (2006) and Research School of Pacific and Asian Studies (2007-08), ANU      |
| 2008-10 | Professor, Regulatory Institutions Network, College of Asia and the Pacific, ANU  |
| 2010-20 | Fractional appointment, Professor, Regulatory Institutions Network, College of Asia and the Pacific, ANU  |

2013 Public Policy Fellow, Australian National University  
2015 Adjunct Professor, University of Canberra

### **Speaking invitations and visiting affiliations**

1988 Visiting Fellow, Human Development and Social Policy Program, Northwestern University

1990 Visiting Fellow, Buehler Center on Aging, McGaw Medical Center, Northwestern University

2001 Invited speaker, “Crime, Risk and Compliance,” plenary, Australian Institute of Criminology, 4<sup>th</sup> National Outlook Symposium on Crime in Australia, Canberra, 21-22 June

2005 Invited speaker, Martin-Luther-University Halle-Wittenberg, (A)moral Economies and Predatory Society: Crime, Markets and Risk Workshop, Berlin, 1-2 July

2006 Invited speaker and master class, Department of Psychology, University of Vienna, Vienna, 6-7 April

2006 Invited speaker, Leiden University, Managing and Maintaining Compliance: Closing the Gap between Science and Practice, and master class for the Netherlands Institute for the Study of Crime and Law Enforcement, Leiden, 9-11 April

2009 Plenary speaker, OECD Forum on Tax Administration, “Resistant and Dismissive Defiance of Authority: Challenges beyond Compliance”, paper presented at the Influencing Taxpayers’ Compliance Behaviour Conference, and invited speaker for a workshop of the Dutch Tax and Customs Administration, The Hague, 9-11 April

2009 Invited speaker, University of Leeds, Legitimacy and Compliance in Criminal Justice Symposium, Leeds, 25-26 June

2009 Invited speaker, University of Cambridge, Second Annual Conference on Evidence-based Policing and master class, Cambridge, 1-3 July

2010 Invited speaker and master class, Faculty of Law, University of British Columbia, 27 September – 1 October

2012 Invited speaker, The Future Role of Tax Administrations in a Networking Society Conference, Vienna University of Economics and Business, 20-21 September

- 2013 Invited speaker, “Nudging, Circling and Permission to Coerce in Tax Administration”, paper and workshop presented at the 43<sup>rd</sup> Annual State and Territories Commissioners’ Conference, Darwin, 13-15 March
- 2014 Invited speaker, “Parents as Victims in Child Protection,” 30<sup>th</sup> Anniversary of the Victimology, Victim Assistance, and Criminal Justice Course in cooperation with The World Society of Victimology, Dubrovnik, 12-24 May
- 2014 Invited speaker, “Regulation and Social Capital”, plenary, Restorative Justice, Responsive Regulation and Complex Problems Conference, Vermont, 15-18 July
- 2014 Invited speaker, “Food for Thought in Regulating the VET sector”, paper presented at TAFE Directors Australia National Conference, Vision 2020, Sydney, 1-2 September
- 2016 Invited speaker, “Wheel of Social Alignments – Managing Regulatory Frameworks”, Commonwealth Government Regulatory Reform Forum, Canberra, 21 September
- 2017 Plenary speaker, “Tax, citizens and sovereignty: Bygone ideas or time for reinvention?”, 29<sup>th</sup> Australasian Tax Teachers Association Conference, Tax and Society, Wellington, New Zealand, 18-20 January
- 2017 Invited speaker, “Regulation – What Constitutes Quality and Why Teacher Qualifications Matter”, Australian Education Union National TAFE Council AGM, Melbourne, 7 April
- 2017 Invited speaker, “Restorative Justice, Shame Management and School Bullying”, School Bullying Symposium, Tarbiat Modares University, Tehran, Iran, 19 April
- 2017 Plenary Speaker, “Crime that Escapes Definition: The Thorny Issue of Tax Compliance”, Forging Social Justice: Local Challenges, Global Complexities, British Society of Criminology, Sheffield Hallam University, UK, 4-7 July
- 2017 Plenary Speaker, “Laws that Catch On and Laws that Don’t: Why We Can’t Walk Away from the Social-Psychological Contract in Democratic Societies”, Regulation and Criminology: Looking Back, Thinking Forward, Centre for Criminal Justice Studies, Leeds University, UK, 3 July
- 2017 Invited speaker, “When Injustice Sensibility Departs from Government Analytics”, Institutional Transformation: Imagination,

- Affect and Embodiment, Australian Academy of Social Sciences Workshop, University of Sydney, Sydney, 4 August
- 2017 Invited Speaker, “Working with Income Contingent Loans in Education – Lessons from our Past, Questions for our Future?” TAFE Conference, Sydney 20 October
- 2018 Invited speaker, “The New E-quality Challenge: Regulating the Private Provision of Social Services Innovation,” Regulation and Risk in Social Service Markets, Australian Academy of Social Sciences Workshop, Macquarie University, Sydney, 4-5 April
- 2018 Invited speaker, “A Two Consumer Tale”, Regulating Consumptagenic Systems, Australian Academy of Social Sciences Workshop, Australian National University, Canberra, 30-31 July
- 2018 Invited speaker, “A Psychological View of Institutional Trust”, Regenerating Integrity and Trust in Australian Institutions, Australian Academy of Social Sciences Symposium, Shine Dome, Canberra, 13 November
- 2019 Plenary Speaker, “Preserving Moral Obligation in our Tax Systems”, Tax Administration Research Centre Annual Conference, University of Exeter, Exeter, 11-12 April
- 2019 Invited speaker, “Seeing, believing and trusting: Governance challenges for a future anti-corruption agency”, Australian Public Service Anti-Corruption Conference, Melbourne, 30-31 October.
- 2020 Keynote Speaker, “Building trust across sectors and institutions”, National Registration and Accreditation Scheme Combined Meeting 2020, Australian Health Practitioner Regulation Agency, Melbourne, 28 February.

### **Other speaking invitations**

I routinely accept speaking invitations from government departments in Australia and New Zealand to introduce them to the ideas of responsive regulation and its implementation through compliance pyramids. Included are invitations from New Zealand Inland Revenue, Australian Taxation Office Cash Economy Advisory Group, Australian Taxation Office Complex GST, SME and Large Business Leadership Group, Safe Work Australia, Department of Immigration and Citizenship, Queensland Department of Environment and Resource Management, Victoria EPA, Joint Committee of Public Accounts and Audit, Charities and Not-for-Profit Task Force, Meeting of State Not-For-Profit Housing Authorities, National Skills Standards Council, Department of Education, Employment and Workplace Relations, Treasury, Prime Minister and Cabinet.

## Teaching experience

I have had more than 25 years teaching experience, lecturing, tutoring and leading seminar/discussion groups at undergraduate and postgraduate levels, designing and administering courses, and supervising and mentoring both staff and students. My teaching experience covers six areas:

- (a) social and organizational psychology and human development;
- (b) quantitative research methods, psychometrics, experimental design, statistics, survey research and data analysis;
- (c) gerontology;
- (d) personality and the psychology of individual differences;
- (e) stress, adaptation, individual well-being and life satisfaction;
- (f) regulation and compliance.

## Supervision

### *Honours theses*

- |      |   |
|------|---|
| 1980 | The effects of a psychiatric affiliation on student nurses' attitudes<br>(Andrew Todd, Psychology, ANU) (joint supervision)   |
| 1980 | The relationship of family structure and family conflict to self-esteem in children<br>(Judy Cooper, Psychology, ANU) (joint supervision)                             |
| 1986 | Critical determinants of ethnocentrism in young children<br>(Jane Hodge, Psychology, ANU) (joint supervision)   |
| 1987 | Control in adolescent stress and coping<br>(Margaret Groube, Psychology, ANU)   |
| 1987 | A clarification of the constructs of ageism and age stereotypes in non-experimental and experimental paradigms<br>(Derek Pigram, Psychology, ANU)                     |
| 1991 | Applying social psychological theories to weight control behaviour: A comparison of an individualistic and interactionist approach<br>(Anne Jenkins, Psychology, ANU) |
| 1995 | Successful adaptation in the elderly: The role of possible selves in the coping process<br>(Kerry Leahan, Psychology, ANU)  |
| 1995 | The socialization of pride, shame and guilt<br>(Sophie Huntley, Psychology, ANU)  |

### *Masters theses*

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|------|--|
| 1988 | Judgments of children's social competence: the influence of the evaluator<br>(Sue Hays, Clinical Psychology, ANU) (joint supervision)      |
| 1990 | Subjective and objective appraisal of emotional stroke carers' capacity to absorb caregiving information<br>(Anne McGown, Psychology, ANU) |
| 1990 | Stress, social support and adaptation among caregivers<br>(Margaret Groube, Clinical Psychology, ANU)                                      |
| 1991 | Personality, life events and symptoms: interrelationships across time  |

- (Elizabeth O’Keefe, Clinical Psychology, ANU)  
 1993 The coping roles of children of alcoholics: their measurement and their validity  
 (Cindy Devine, Clinical Psychology, ANU)  
 1998 Men, women and divorce mediation  
 (Patrick Fleming, Clinical Psychology, ANU)  
 1998 The role of sense of coherence, self-transcendence and social support in the adjustment of women who have experienced breast cancer  
 (Rachel Colombo, Clinical Psychology, ANU)

*PhD theses*

- 1987 Attitudes towards eucalypt clearcutting among Australian foresters: a social ecological analysis  
 (Kathy Hawkins, Forestry, ANU) (Member of supervisory committee)  
 1988 Stress, coping and adaptation in married couples  
 (Deborah Terry, Psychology, ANU) (Member of supervisory committee)  
 1990 The relationship between cognitive appraisal processes and recurrent headaches  
 (Nick Francis-Jones, Clinical Psychology, ANU) (Member of supervisory committee)  
 1993 Help-seeking for psychological problems in late adolescents  
 (Debra Rickwood, Sociology, ANU) (Joint supervisor)  
 1995 Citizens, consumers and contingent valuation: An investigation into respondent behaviour  
 (Russell Blamey, Centre for Resource and Environmental Studies, ANU) (Advisor)  
 1995 Teenagers at risk of HIV infection  
 (Janis Shaw, National Centre for Epidemiology and Population Health, ANU) (Advisor)  
 1995 Beyond the rhetoric: The practice of affirmative action in Australia  
 (Alison Sheridan, Graduate School of Business Administration, University of New England) (External Advisor)  
 1997 The role of managerial self-efficacy in corporate compliance with regulatory standards  
 (Anne Jenkins, Psychology, ANU) (Joint supervisor)  
 1999 Shame management and bullying  
 (Eliza Ahmed, Psychology, ANU) (Supervisor)  
 2000 Shaming and shame: an empirical analysis  
 (Nathan Harris, Psychology, ANU) (Joint Supervisor)  
 2000 Emotional work: a psychological view  
 (Lyndall Strazdins, Psychology, ANU) (Supervisor)  
 2000 Victim participation in a restorative justice process: the Canberra Reintegrative Shaming experiments  
 (Heather Strang, Public Policy, ANU) (Advisor)  
 2001 Problems and prospects in cross-cultural interactions in Japanese multinational corporations in Australia  
 (Yuka Sakurai, Commerce, ANU) (Advisor)  
 2001 Shared parenting in Australia?: Examining the involvement of men and women in child rearing  
 (Edith Gray, Demography, ANU) (Supervisor)

- 2002 Crisis of moral authority: The Ladies Benevolent Societies in the Victorian welfare field, 1920-1939  
(Janine Bush, History, ANU) (Chair of Supervisory Committee)
- 2006 Social groups in times of change: The impact of a threatened identity  
(Simon Finley, Centre for Tax System Integrity, ANU) (Chair of Supervisory Committee)
- 2006 Institutional safe space and shame management in workplace bullying  
(Helene Shin, Centre for Tax System Integrity, ANU) (Supervisor)
- 2007 The effects of rules and principle-based strategies on employee compliance with organizational codes of conduct  
(Carla Day, Public Policy, ANU) (Supervisor)
- 2007 Ripples of trust: Reconciling rational and relational accounts of the source of trust  
(Jenny Job, Centre for Tax System Integrity, ANU) (Supervisor)
- 2007 A social-historical analysis of loyalty  
(James Connor, Sociology, ANU) (Advisor)
- 2009 Towards an understanding of the tax practitioner-client relationship: a role analysis  
(Lin Mei Tan, Centre for Tax System Integrity, ANU) (Supervisor)
- 2009 Pathways from school bullying to adult aggression: A longitudinal Study  
(Jacqueline Homel, Centre for Tax System Integrity, ANU) (Supervisor)
- 2011 Policy, obligation and justice in Australia's tobacco growing industry  
(Sophie Cartwright, Centre for Tax System Integrity, ANU) (Supervisor)
- 2012 A will and a way: An analysis of tax practitioner preparation compliance  
(Elea Wurth, Centre for Tax System Integrity, ANU) (Supervisor)
- 2014 Absence of respect: South Sudanese experiences of Australian government and social institutions  
(Ibolya Losoncz, Regulatory Institutions Network, ANU) (Supervisor)
- 2015 Transferring restorative justice to the field of domestic violence in Taiwan  
(Hsiao Fen Huang, Regulatory Institutions Network, ANU) (Supervisor)
- 2015 Regulating Indonesia's high wealth individual taxpayers: Ideas for Policy Transfer  
(Seki Widihartanto, Regulatory Institutions Network, ANU) (Supervisor)
- 2015 Regulatory responses to informal work in Bulgaria, Australia and India  
(Shelley Marshall, Regulatory Institutions Network, ANU) (Advisor)
- 2018 Just a game? Playing in pursuit of sustainability, inclusion and justice in small-scale fisheries in the Philippines  
(Deborah Cleland, Fenner School of Environment and Society, ANU) (Advisor)
- Current Responses of reparation to sexual abuse in the Catholic Church  
(Meredith Edelman, Regulatory Institutions Network, ANU) (Advisor)



- Current Trust in electoral institutions  
(Therese Pearce Laanela, Regulatory Institutions Network, ANU)  
(Supervisor)
- Current Tax, privacy and transparency  
(Johan Van Der Walt, Regulatory Institutions Network, ANU)  
(Supervisor)
- Current Relational regulation of industrial chemicals  
(Mary Ivec, Regulatory Institutions Network, ANU) (Advisor)
- Current Welfare service consumers as regulators  
(Anna Fieldhouse, Regulatory Institutions Network, ANU)  
(Supervisor)

## Service

### *Research School of Social Sciences & Research School of Pacific & Asian Studies*

- 1996-99 Member, Faculty Board, and regular member of non-continuing selection committees and contract extension committees
- 1998-99 Chair, Non-tenured Promotions Committee, Standing Committee on Postgraduate Training, Scholarships Committee, and Visitors Committee
- 1998-99 Associate Director, Research School of Social Sciences, and Acting Director, Research School of Social Sciences in the absence of the Director
- 1999-01 Member, Strategic Planning Committee
- 1999-05 Director of Centre for Tax System Integrity (ANU-ATO research partnership with \$3.3 million in outside funding)
- 2006-08 Head, Regulatory Institutions Network, Research School of Pacific and Asian Studies
- 2007-08 Member, Conveners' Consultative Group to the Director, Research School of Pacific and Asian Studies

### *Australian National University*

- 1989-98 Member, Ethics in Human Experimentation Committee
- 1994-95 Member, Research Advisory Board, Research School of Social Sciences
- 1994-97 Member, Research Committee, Centre for Resource and Environmental Studies
- 1995 Research Ethics Representative for Quality Assurance in Higher Education Review
- 1996-97 Member, Consultative Committee, Staffing Planning and Administration Review
- 1997 Member, Committee on General Policy, Board of the Institute of Advanced Studies
- 1997-98 Member, Board of the Institute of Advanced Studies
- 1998 Chair, Review Committee for the Work and Performance Review for General Staff
- 1998 Member, Staff Liaison Committees for university-level academic appointments

- 1998-99 Advisor to the Equity and Diversity Unit on the development of the ANU Gender Equity Plan and on grievance procedures
- 2001-03 Member, Selection Committees for university-level academic appointments
- 2003-11 Chair, Advisory Committee for Graduate House
- 2004 Invited speaker, University House Jubilee Lecture Series, *Hope and Governance*, July 7
- 2005 Member, Strategic Planning Working Groups on Education and National Engagement
- 2008-09 Member, ANU Promotions Committees for professorial positions

*Outside the university*

- 1990-97 Member, Aged Care Advisory Committee for the A.C.T.
- 1992-03 Chair, Ethics Committee, Australian Institute of Criminology
- 1995-96 Member, Reference Group, Respite Care Review, Commonwealth Department of Human Services and Health
- 1995-05 Member, Cash Economy Task Force, Australian Taxation Office
- 1999 Participant, The Future of Education and Vocational Training, Australian Student Traineeship Foundation, Sydney, 18 November
- 2000 Participant, Social Capital Think Tank on Accountability, Commonwealth Department of Family and Community Services, Canberra, 22 March
- 2000 Invited speaker, *Influencing Community Attitudes*, Roads and Traffic Authority, New South Wales, 9 March
- 2000 Participant, Strategy Meeting on Funding Criminological Research in Australia, Criminology Research Council
- 2002 Speaker and advisor on Tax Compliance, New Zealand Inland Revenue
- 2002 Advisor, OECD Centre for Tax Policy and Administration
- 2003 Presenter, National Academies Forum's Measuring Research Excellence Symposium, Australian Academy of Science, Canberra, 22 June
- 2005-10 ANU representative, Board of Management of the Institute of Child Protection Studies, Australian Catholic University
- 2011-14 Expert Member, National Skills Standards Council, Australian Government
- 2012 Chair, Review Committee for Centre of Regulatory Studies, Monash University
- 2013 Ministerial appointment as reviewer (with Kwong Lee Dow), Review of Higher Education Regulation, Australian Government
- 2014 Member, Review Committee for the Institute for Social Science Research, University of Queensland
- 2014 Member, Panel for the Review of Academic Board, James Cook University
- 2015 Member, Working group on Tax Policy Development Process, The Board of Taxation, Australian Government
- 2017 Ministerial appointment as reviewer, National Vocational Education and Training Regulator Act, Australian Government

1999- Regular engagement as an advisor, presenter, workshop participant on tax related matters, the ATO Compliance Model, and on issues of regulation and compliance more generally in Australia (federal departments including Immigration, Transport, Environment, Treasury, Defence, Family and Community Services, Employment, Education and Workplace Relations, National Audit Office, Safe Work Australia, and Australian Quarantine and Inspection Service; state regulatory agencies for housing, environment, and taxation; and community organizations) and overseas (New Zealand, The Netherlands, South Africa); from 2012-2014 a contributor to the ANU Coombs Policy Forum.

### **Research grants**

1988-91 Department of Community Services & Health, Australian Government. \$169,500 for the Nursing Home Regulation in Action Project (with J. Braithwaite, D. Gibson & D. Ermann)

1989 Faculties Research Fund, The Australian National University. \$12,000 for the Nursing Home Regulation Comparative Study

1991-92 Affirmative Action Agency, Australian Government. \$30,700 for the Equal Employment Opportunity Officers - Agents of Social Change Project

1996 Faculties Research Grant, The Australian National University. \$8,200 for the Human Values and Social and Political Attitudes Project

1996-97 Department of Human Services & Health, Australian Government. \$101,150 for the Caregiving Enrichment Project

1997-98 Criminology Research Council, Australian Government. \$57,132 for Shame Management and Social Reintegration for Bullies and Victims: The PRISM Project

1999-02 Australian Taxation Office, Australian Government. \$1,500,000 for the Centre for Tax System Integrity

2002-05 Australian Taxation Office, Australian Government. \$1,800,000 for the Centre for Tax System Integrity

2003 Department of Family and Community Services, Australian Government. \$91,476 for the Australian Cash Economy Project

2005-07 ARC Linkage Grant. \$560,704 for Regulatory Strategies for Improving Health Sector Performance (with John Braithwaite, Paul Dugdale, Toni Makkai, Judith Healy and Kathryn Dwan)

2005 Department of Family and Community Services, Australian Government. \$112,251 for the Family Tax Benefit and Cash Economy Project

2006-08 ARC Discovery Grant. \$290,000 for Governance of Defiance Project (with Katrina Murphy)

2006-10 ARC Linkage Grant. \$501,826 for Community Capacity Building for Child Protection through Responsive Regulation (with Dorothy Scott, Morag McArthur and Nathan Harris)

- 2009-11 ARC Discovery Grant. \$540,000 for Lessons from Asian Peacebuilding Project (with John Braithwaite and Hilary Charlesworth)
- 2010 Department of Immigration and Citizenship, Australian Government. \$78,000 for the Compliance with Migration Law Project
- 2010 Safe Work Australia, Australian Government. \$78,000 for the Motivations, Attitudes, Perceptions and Skills (MAPS) Project
- 2011-15 ARC Discovery Grant. \$364,000 for Regulation and Social Capital Project
- 2012-14 ARC Linkage Grant. \$473,000 for Social-Psychological Factors and Compliance with Work Health and Safety Regulation – Theory, Policy and Practice (with Neil Gunningham, Liz Bluff and Jennifer Job) (Partner: Safe Work Australia)
- 2012 Grant from The Treasury, \$20,000. A Regulatory Framework to Accompany Reform for the Not-for-Profit Sector Project.
- 2012 Grant from Anglicare Tasmania, \$18,000. Parents Engagement with the Child Protection System (with Mary Ivec and Nathan Harris).
- 2015-16 Grant from Office of Industrial Relations, Queensland Government, \$43,000. Signal Sending for Compliance and Defiance with Work Health and Safety Regulations: What can Motivational Postures Tell us? (with Deborah Cleland).
- 2018-20 ARC Linkage Grant. \$346,000 for Preventing and Addressing Environmental Harm through Restorative Justice (with Miranda Forsyth, John Braithwaite, Deborah Cleland, Cathy Wilkinson and Deborah Hollingworth) (Partner: Environmental Protection Authority Victoria)

## List of publications

### Books

- Braithwaite, V.A. (1990). *Bound to Care*. Sydney, Australia: Allen & Unwin (179 pages).
- Ahmed, E., Harris, N., Braithwaite, J. & Braithwaite, V. (2001). *Shame Management through Reintegration*. Cambridge: Cambridge University Press (375 pages).
- Braithwaite, J., Makkai, T. & Braithwaite, V. (2007). *Regulating Aged Care: Ritualism and the New Pyramid*. Cheltenham, UK: Edward Elgar (372 pages).
- Braithwaite, V. (2009). *Defiance in Taxation and Governance: Resisting and Dismissing Authority in a Democracy*. Cheltenham, UK and Northampton, USA: Edward Elgar (365 pages).
- Braithwaite, J., Braithwaite, V., Cookson, M., & Dunn, L. (2010). *Anomie and Violence: Non-truth and Reconciliation in Indonesian Peacebuilding*. Canberra: ANU E-Press.

- Braithwaite, J., Dinnen, S., Allen, M., Braithwaite, V. & Charlesworth, H. (2010) *Pillars and Shadows: Statebuilding as Peacebuilding in Solomon Islands*. Canberra: ANU E-Press.
- Braithwaite, V. (in preparation) *Regulation and Social Capital*.

### **Edited Books**

- Braithwaite, V. & Levi, M. (Eds) (1998). *Trust and Governance*. New York: Russell Sage.
- Braithwaite, V. (Ed) (2003). *Taxing Democracy: Understanding Tax Avoidance and Evasion*. Aldershot, UK: Ashgate.
- Burford, G., Braithwaite, J. & Braithwaite, V. (2019) *Restorative and Responsive Human Services*. New York, USA: Routledge.
- Braithwaite, V., Ahmed, E., and Shin, H. (in preparation) *Bullying and Shame Management*.

### **Special Journal Issues**

- Rawlings, G. & Braithwaite, V. (2003). Voices for change: Australian perspectives on tax administration. *Australian Journal of Social Issues*, 38 (August).
- Braithwaite, V. (2004). Hope, power, and governance. *The Annals of the American Academy of Political and Social Science*, 592.
- Braithwaite, V. (2007) Responsive regulation and taxation. *Law and Policy*, 29 (1).

### **Chapters in books**

- Braithwaite, V.A. & Scott, W.A. (1991). Values. In J.P. Robinson, P. Shaver & L. Wrightsman (Eds.), *Measures of personality and social psychological attitudes*. New York: Academic Press (pp. 661-753).
- Braithwaite, V. (1992). The ageing experience: Loss, threat or challenge? In P. Heaven (Ed.), *Life span development*. Sydney: Harcourt Brace Jovanovich (pp. 268-301).
- Braithwaite, V. (1993). The Australian Government's Affirmative Action Legislation. In R. Callus, P. Dawkins & S. Dowrick (Eds.), *The economics and labour relations of Australian workplaces: quantitative approaches*. The University of Sydney: Australian Centre for Industrial Relations Research and Teaching, Monograph No. 10 (pp. 109-133).
- Braithwaite, V. (1994). EEO and HRM: Compatible positions but different interests. In E. M. Davis & V. A. Pratt (Eds.), *Making the link: affirmative action and industrial relations (No. 5)*. Canberra: Australian Government Publishing Service (pp. 34-37).
- Braithwaite, V. & McGown, A. (1996). Caregivers' emotional well-being and their capacity to learn about stroke. In J.P. Smith (Ed.), *Nursing care of adults*. Oxford: Blackwell Science (pp. 27-40). (reproduction of an article by Braithwaite and McGown (1992)).

- Braithwaite, V. (1997). Beliefs, attitudes and values. In K. Laster (Ed.), *Law as culture*. Sydney: Federation Press (p. 130).
- Braithwaite, V. (1997). Managing constitutional change from a psychological perspective. In M. Coper & G. Williams (Eds.), *Power, parliament, and the people*. Sydney: Federation Press (pp. 16-22).
- Braithwaite, V. (1998). Designing the process of workplace change through the Affirmative Action Act. In M. Gatens & A. Mackinnon (Eds.), *Gender and institutions: Welfare, work, and citizenship*. Cambridge: Cambridge University Press (pp. 107-128).
- Braithwaite, V. (1998). Communal and exchange trust norms, their value base and relevance to institutional trust. In V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 46-74).
- Levi, M. & Braithwaite, V. (1998). Introduction. V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 1-5).
- Braithwaite, V. & Levi, M. (1998). Conclusion. In V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 376-380).
- Braithwaite, V. (2000). Values and restorative justice in schools. In H. Strang & J. Braithwaite (Eds.), *Restorative Justice: Philosophy to Practice*. Aldershot, UK: Ashgate (pp. 121-144).
- Braithwaite, V. & Braithwaite, J. (2001). An evolving compliance model for tax enforcement. In N. Shover & J. P. Wright (Eds.), *Crimes of privilege*. New York and Oxford: Oxford University Press (pp. 405-419).
- Braithwaite, V. & Blamey, R. (2001). Consenso, estabilidad y significado en los valores sociales abstractos. In M. Ros & V.V. Gouveia (Coords.), *Psicologia social de los valores humanos. Desarrollos teoricos, metodologicos y aplicados*. Madrid: Biblioteca Nueva Universidad (pp. 153-172).
- Braithwaite, V. & Braithwaite, J. (2001). Managing taxation compliance: The evolution of the Australian Taxation Office compliance model. In M. Walpole & C. Evans (Eds), *Tax Administration in the 21<sup>st</sup> Century*. St. Leonards, Australia: Prospect Media Pty Ltd (pp. 215-224).
- Braithwaite, V. (2002). Reducing ageism. In T. D. Nelson (Ed.), *Ageism: Stereotyping and prejudice against older people*. Cambridge, Mass.: The MIT Press (pp. 311-337).
- Braithwaite, V., Ahmed, E., Morrison, B. & Reinhart, M. (2003). Researching prospects for restorative justice practice in schools: The Life at School Survey 1996-1999. In L. Walgrove (Ed.), *Repositioning restorative justice: Restorative justice, criminal justice and social context*. Cullompton, UK: Willan Publishing (pp. 165-185).
- Braithwaite, V. (2003). A new approach to tax compliance. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 1-11).
- Braithwaite, V. (2003). Dancing with tax authorities: Motivational postures and non-compliant actions. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 15-39).
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