

CURRICULUM VITAE

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Academic qualifications

- 1972 Bachelor of Arts (BA) Degree awarded with Honours (Class I) in Psychology at the University of Queensland. Thesis title: Relations among attitudes, values, and commitment toward doomsday issues
- 1979 Doctor of Philosophy (PhD) Degree awarded at the University of Queensland. Thesis title: Exploring value structure: an empirical investigation

Present appointment

- 2008 Professor, Regulatory Institutions Network (RegNet), ANU

Main research interests

The unifying theme of my work is the constructive engagement of individuals and groups in governance and regulation. My work is interdisciplinary, bridging literatures on social psychology, governance and regulation. Methodologically, I use empirical data (quantitative and qualitative) to integrate and build theory to explain defiance of, acquiescence to, and cooperation with authority. The normative intent is to identify the institutional spaces that strengthen 'enlightened' cooperation with authority. The substantive areas in which I work change, but they are connected through these objectives:

- (a) identifying institutional practices that generate defiance, undermining the individual's capacity and willingness to cooperate in core facets of social life from family and school to work and governance. Of primary interest are practices that fail to respect social values, challenge the stress and coping capabilities of individuals, induce poor shame management skills, and frustrate basic needs.
- (b) demonstrating how social relationships facilitate the engagement of individuals in institutional life. This work focuses on building trust, recognizing shared social

values, generating hope and institutionalizing dialogue and generosity within legitimate legislative frameworks.

Awards, Honours

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| 2002 | Recipient of ANU certificate for Exceptional Performance in Equity and Diversity |
| 2005 | Recipient of Vice-chancellor's Award for Career Achievement |
| 2009 | Fellow, Academy of the Social Sciences in Australia |

Previous appointments

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| 1974-75 | Part-time Tutor, Department of Psychology, University of Queensland |
| 1978-79 | Tutor, Department of Psychology, The Australian National University |
| 1979 | Postdoctoral Fellow and Visiting Lecturer in Social Ecology, University of California, Irvine |
| 1980-81 | Senior Tutor, Department of Psychology, The Australian National University |
| 1981-86 | Research Fellow, NH&MRC Social Psychiatry Research Unit, The Australian National University |
| 1986-90 | Lecturer, Department of Psychology, The Australian National University |
| 1990 | Senior lecturer, Department of Psychology, The Australian National University |
| 1991-94 | Fellow, Administration, Compliance and Governability Project, Research School of Social Sciences, Institute of Advanced Studies, The Australian National University |
| 1995-96 | Senior lecturer, Department of Psychology, The Australian National University |
| 1996-99 | On transfer to the Research School of Social Sciences, The Australian National University |
| 1998-99 | Associate Director, Research School of Social Sciences, The Australian National University |
| 1999-05 | Director of the Centre for Tax System Integrity, Research School of Social Sciences, ANU |
| 2005-06 | Senior Fellow, Regulatory Institutions Network, Research School of Social Sciences, ANU |
| 2006-08 | Professor and Head, Regulatory Institutions Network, Research School of Social Sciences (2006) and Research School of Pacific and Asian Studies (2007-08), ANU |

International invitations and visiting affiliations

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| 1988 | Visiting Fellow, Human Development and Social Policy Program, Northwestern University |
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- 1990 Visiting Fellow, Buehler Center on Aging, McGaw Medical Center, Northwestern University
- 2005 Invited speaker, Martin-Luther-University Halle-Wittenberg, (A)moral Economies and Predatory Society: Crime, Markets and Risk Workshop, Berlin, 1-2 July
- 2006 Invited speaker and master class, Department of Psychology, University of Vienna, Vienna, 6-7 April
- 2006 Invited speaker, Leiden University, Managing and Maintaining Compliance: Closing the Gap between Science and Practice, and master class for the Netherlands Institute for the Study of Crime and Law Enforcement, Leiden, 9-11 April
- 2009 Plenary speaker, OECD Forum on Tax Administration, “Resistant and Dismissive Defiance of Authority: Challenges beyond Compliance”, paper presented at the Influencing Taxpayers’ Compliance Behaviour Conference, and invited speaker for a workshop of the Dutch Tax and Customs Administration, The Hague, 9-11 April
- 2009 Invited speaker, University of Leeds, Legitimacy and Compliance in Criminal Justice Symposium, Leeds, 25-26 June
- 2009 Invited speaker, University of Cambridge, Second Annual Conference on Evidence-based Policing and master class, Cambridge, 1-3 July
- 2010 Invited speaker and master class, Faculty of Law, University of British Columbia, 27 September – 1 October
- 2012 Invited participant, The Future Role of Tax Administrations in a Networking Society Conference, Vienna University of Economics and Business, 20-21 September

Other speaking invitations

In recent years I accepted speaking invitations from government departments in Australia and New Zealand to introduce them to the ideas of responsive regulation and its implementation through compliance pyramids. Included are invitations from New Zealand Inland Revenue, Australian Taxation Office Cash Economy Advisory Group, Australian Taxation Office Complex GST, SME and Large Business Leadership Group, Safe Work Australia, Department of Immigration and Citizenship, Queensland Department of Environment and Resource Management, Joint Committee of Public Accounts and Audit, Charities and Not-for-Profit Task Force, National Skills Standards Council, Department of Education, Employment and Workplace Relations, and Treasury.

Teaching experience

I have had more than 25 years teaching experience, lecturing, tutoring and leading seminar/discussion groups at undergraduate and postgraduate levels, designing and administering courses, and supervising and mentoring both staff and students. My teaching experience covers six areas:

- (a) social and organizational psychology and human development;
- (b) quantitative research methods, psychometrics, experimental design, statistics, survey research and data analysis;
- (c) gerontology;
- (d) personality and the psychology of individual differences;
- (e) stress, adaptation, individual well-being and life satisfaction;
- (f) regulation and compliance.

Supervision

Honours theses

- 1980 The effects of a psychiatric affiliation on student nurses' attitudes
(Andrew Todd, Psychology, ANU) (joint supervision)
- 1980 The relationship of family structure and family conflict to self-esteem in children
(Judy Cooper, Psychology, ANU) (joint supervision)
- 1986 Critical determinants of ethnocentrism in young children
(Jane Hodge, Psychology, ANU) (joint supervision)
- 1987 Control in adolescent stress and coping
(Margaret Groube, Psychology, ANU)
- 1987 A clarification of the constructs of ageism and age stereotypes in non-experimental and experimental paradigms
(Derek Pigram, Psychology, ANU)
- 1991 Applying social psychological theories to weight control behaviour: A comparison of an individualistic and interactionist approach
(Anne Jenkins, Psychology, ANU)
- 1995 Successful adaptation in the elderly: The role of possible selves in the coping process
(Kerry Leahan, Psychology, ANU)
- 1995 The socialization of pride, shame and guilt
(Sophie Huntley, Psychology, ANU)

Masters theses

- 1988 Judgments of children's social competence: the influence of the evaluator
(Sue Hays, Clinical Psychology, ANU) (joint supervision)
- 1990 Subjective and objective appraisal of emotional stroke carers' capacity to absorb caregiving information
(Anne McGown, Psychology, ANU)
- 1990 Stress, social support and adaptation among caregivers

- (Margaret Groube, Clinical Psychology, ANU)
- 1991 Personality, life events and symptoms: interrelationships across time
(Elizabeth O'Keefe, Clinical Psychology, ANU)
- 1993 The coping roles of children of alcoholics: their measurement and their
validity
(Cindy Devine, Clinical Psychology, ANU)
- 1998 Men, women and divorce mediation
(Patrick Fleming, Clinical Psychology, ANU)
- 1998 The role of sense of coherence, self-transcendence and social support in
the adjustment of women who have experienced breast cancer
(Rachel Colombo, Clinical Psychology, ANU)

PhD theses

- 1987 Attitudes towards eucalypt clearcutting among Australian foresters: a social ecological analysis
(Kathy Hawkins, Forestry, ANU) (Member of supervisory committee)
- 1988 Stress, coping and adaptation in married couples
(Deborah Terry, Psychology, ANU) (Member of supervisory committee)
- 1990 The relationship between cognitive appraisal processes and recurrent headaches
(Nick Francis-Jones, Clinical Psychology, ANU) (Member of supervisory committee)
- 1993 Help-seeking for psychological problems in late adolescents
(Debra Rickwood, Sociology, ANU) (Joint supervisor)
- 1995 Citizens, consumers and contingent valuation: An investigation into respondent behaviour
(Russell Blamey, Centre for Resource and Environmental Studies, ANU) (Advisor)
- 1995 Teenagers at risk of HIV infection
(Janis Shaw, National Centre for Epidemiology and Population Health, ANU) (Advisor)
- 1995 Beyond the rhetoric: The practice of affirmative action in Australia
(Alison Sheridan, Graduate School of Business Administration, University of New England) (External Advisor)
- 1997 The role of managerial self-efficacy in corporate compliance with regulatory standards
(Anne Jenkins, Psychology, ANU) (Joint supervisor)
- 1999 Shame management and bullying
(Eliza Ahmed, Psychology, ANU) (Supervisor)
- 2000 Shaming and shame: an empirical analysis
(Nathan Harris, Psychology, ANU) (Joint Supervisor)
- 2000 Emotional work: a psychological view
(Lyndall Strazdins, Psychology, ANU) (Supervisor)
- 2000 Victim participation in a restorative justice process: the Canberra Reintegrative Shaming experiments
(Heather Strang, Public Policy, ANU) (Advisor)
- 2001 Problems and prospects in cross-cultural interactions in Japanese multinational corporations in Australia
(Yuka Sakurai, Commerce, ANU) (Advisor)
- 2001 Shared parenting in Australia?: Examining the involvement of men and women in child rearing
(Edith Gray, Demography, ANU) (Supervisor)
- 2002 Crisis of moral authority: The Ladies Benevolent Societies in the Victorian welfare field, 1920-1939
(Janine Bush, History, ANU) (Chair of Supervisory Committee)
- 2006 Social groups in times of change: The impact of a threatened identity
(Simon Finley, Centre for Tax System Integrity, ANU) (Chair of Supervisory Committee)
- 2006 Institutional safe space and shame management in workplace bullying
(Helene Shin, Centre for Tax System Integrity, ANU) (Supervisor)

- 2007 The effects of rules and principle-based strategies on employee compliance with organizational codes of conduct (Carla Day, Public Policy, ANU) (Supervisor)
- 2007 Ripples of trust: Reconciling rational and relational accounts of the source of trust (Jenny Job, Centre for Tax System Integrity, ANU) (Supervisor)
- 2007 A social-historical analysis of loyalty (James Connor, Sociology, ANU) (Advisor)
- 2009 Towards an understanding of the tax practitioner-client relationship: a role analysis (Lin Mei Tan, Centre for Tax System Integrity, ANU) (Supervisor)
- 2009 Pathways from school bullying to adult aggression: A longitudinal Study (Jacqueline Homel, Centre for Tax System Integrity, ANU) (Supervisor)
- 2011 Policy, obligation and justice in Australia's tobacco growing industry (Sophie Cartwright, Centre for Tax System Integrity, ANU) (Supervisor)
- 2012 A will and a way: An analysis of tax practitioner preparation compliance (Elea Wurth, Centre for Tax System Integrity, ANU) (Supervisor)
- Current Experiences of immigrants with the criminal justice system (Jacqueline Joudo, Regulatory Institutions Network, ANU) (Advisor)
- Current Experiences of sexual offenders (Nina Leijon, Regulatory Institutions Network, ANU) (Advisor)
- Current Experiences of immigrants (Ibolya Losoncz, Regulatory Institutions Network, ANU) (Supervisor)
- Current Values and restorative justice (Hsiao Fen Huang, Regulatory Institutions Network, ANU) (Supervisor)
- Current Taxation compliance among high wealth individuals in Indonesia (Seki Widihartanto, Regulatory Institutions Network, ANU) (Supervisor)
- Current Regulatory responses to informal work in Bulgaria, Australia and India (Shelley Marshall, Regulatory Institutions Network, ANU) (Advisor)
- Current Ethical behaviour of lawyers in regional Australia (Helen McGowan, College of Law, ANU) (Advisor)

Service

Research School of Social Sciences & Research School of Pacific & Asian Studies

- 1996-99 Member, Faculty Board, and regular member of non-continuing selection committees and contract extension committees
- 1998-99 Chair, Non-tenured Promotions Committee, Standing Committee on Postgraduate Training, Scholarships Committee, and Visitors Committee
- 1998-99 Associate Director, Research School of Social Sciences, and Acting Director, Research School of Social Sciences in the absence of the Director
- 1999-01 Member, Strategic Planning Committee
- 1999-05 Director of Centre for Tax System Integrity (ANU-ATO research partnership with \$3.3 million in outside funding)
- 2006-08 Head, Regulatory Institutions Network, Research School of Pacific and Asian Studies
- 2007-08 Member, Conveners' Consultative Group to the Director, Research School of Pacific and Asian Studies

Australian National University

- 1989-98 Member, Ethics in Human Experimentation Committee
- 1994-95 Member, Research Advisory Board, Research School of Social Sciences
- 1994-97 Member, Research Committee, Centre for Resource and Environmental Studies
- 1995 Research Ethics Representative for Quality Assurance in Higher Education Review
- 1996-97 Member, Consultative Committee, Staffing Planning and Administration Review
- 1997 Member, Committee on General Policy, Board of the Institute of Advanced Studies
- 1997-98 Member, Board of the Institute of Advanced Studies
- 1998 Chair, Review Committee for the Work and Performance Review for General Staff
- 1998 Member, Staff Liaison Committees for university-level academic appointments
- 1998-99 Advisor to the Equity and Diversity Unit on the development of the ANU Gender Equity Plan and on grievance procedures
- 2001-03 Member, Selection Committees for university-level academic appointments
- 2003-11 Chair, Advisory Committee for Graduate House
- 2004 Invited speaker, University House Jubilee Lecture Series, *Hope and Governance*, July 7
- 2005 Member, Strategic Planning Working Groups on Education and National Engagement
- 2008-09 Member, ANU Promotions Committees for professorial positions

Outside the university

- 1990-97 Member, Aged Care Advisory Committee for the A.C.T.
- 1992-03 Chair, Ethics Committee, Australian Institute of Criminology
- 1995-96 Member, Reference Group, Respite Care Review, Commonwealth Department of Human Services and Health
- 1995-05 Member, Cash Economy Task Force, Australian Taxation Office
- 1999 Participant, The Future of Education and Vocational Training, Australian Student Traineeship Foundation, Sydney, 18 November
- 2000 Participant, Social Capital Think Tank on Accountability, Commonwealth Department of Family and Community Services, Canberra, 22 March
- 2000 Invited speaker, *Influencing Community Attitudes*, Roads and Traffic Authority, New South Wales, 9 March
- 2000 Participant, Strategy Meeting on Funding Criminological Research in Australia, Criminology Research Council
- 2001 Plenary speaker, *Crime, Risk and Compliance*, Australian Institute of Criminology's 4th National Outlook Symposium on Crime in Australia, Canberra, 21-22 June
- 2002 Speaker and advisor on Tax Compliance, New Zealand Inland Revenue
- 2002 Advisor, OECD Centre for Tax Policy and Administration
- 2003 Presenter, National Academies Forum's Measuring Research Excellence Symposium, Australian Academy of Science, Canberra, 22 June
- 2005-10 ANU representative, Board of Management of the Institute of Child Protection Studies, Australian Catholic University
- 2011- Expert Member, National Skills Standards Council, Australian Government
- 2012 Chair, Review Committee for Centre of Regulatory Studies, Monash University
- 1999- Regular engagement as an advisor, presenter, workshop participant on tax related matters, the ATO Compliance Model, and on issues of regulation and compliance more generally in Australia (federal departments including Immigration, Transport, Environment, Treasury, Defence, Family and Community Services, Employment, Education and Workplace Relations, National Audit Office, Safe Work Australia, and Australian Quarantine and Inspection Service; state regulatory agencies; and community organizations) and overseas (New Zealand, The Netherlands, South Africa).

Research grants

- 1988-91 Department of Community Services & Health, Australian Government. \$169,500 for the Nursing Home Regulation in Action Project (with J. Braithwaite, D. Gibson & D. Ermann)
- 1989 Faculties Research Fund, The Australian National University. \$12,000 for the Nursing Home Regulation Comparative Study

- 1991-92 Affirmative Action Agency, Australian Government. \$30,700 for the Equal Employment Opportunity Officers - Agents of Social Change Project
- 1996 Faculties Research Grant, The Australian National University. \$8,200 for the Human Values and Social and Political Attitudes Project
- 1996-97 Department of Human Services & Health, Australian Government. \$101,150 for the Caregiving Enrichment Project
- 1997-98 Criminology Research Council, Australian Government. \$57,132 for Shame Management and Social Reintegration for Bullies and Victims: The PRISM Project
- 1999-02 Australian Taxation Office, Australian Government. \$1,500,000 for the Centre for Tax System Integrity
- 2002-05 Australian Taxation Office, Australian Government. \$1,800,000 for the Centre for Tax System Integrity
- 2003 Department of Family and Community Services, Australian Government. \$91,476 for the Australian Cash Economy Project
- 2005-07 ARC Linkage Grant. \$560,704 for Regulatory Strategies for Improving Health Sector Performance (with John Braithwaite, Paul Dugdale, Toni Makkai, Judith Healy and Kathryn Dwan)
- 2005 Department of Family and Community Services, Australian Government. \$112,251 for the Family Tax Benefit and Cash Economy Project
- 2006-08 ARC Discovery Grant. \$290,000 for Governance of Defiance Project (with Katrina Murphy)
- 2006-10 ARC Linkage Grant. \$501,826 for Community Capacity Building for Child Protection through Responsive Regulation (with Dorothy Scott, Morag McArthur and Nathan Harris)
- 2009-11 ARC Discovery Grant. \$540,000 for Lessons from Asian Peacebuilding Project (with John Braithwaite and Hilary Charlesworth)
- 2010 Department of Immigration and Citizenship, Australian Government. \$78,000 for the Compliance with Migration Law Project
- 2010 Safe Work Australia, Australian Government. \$78,000 for the Motivations, Attitudes, Perceptions and Skills (MAPS) Project
- 2011-15 ARC Discovery Grant. \$364,000 for Regulation and Social Capital Project
- 2012-14 ARC Linkage Grant. \$473,372 for Social-Psychological Factors and Compliance with Work Health and Safety Regulation – Theory, Policy and Practice (with Neil Gunningham, Liz Bluff and Jennifer Job)
- 2012 Grant from The Treasury, \$20,000. A Regulatory Framework to Accompany Reform for the Not-for-Profit Sector Project.
- 2012 Grant from Anglicare Tasmania, \$18,000. Parents with Child Protection System (with Mary Ivec and Nathan Harris).

List of publications

Books

- Braithwaite, V.A. (1990). *Bound to care*. Sydney, Australia: Allen & Unwin (179 pages).
- Ahmed, E., Harris, N., Braithwaite, J. & Braithwaite, V. (2001). *Shame management through reintegration*. Cambridge: Cambridge University Press (375 pages).
- Braithwaite, J., Makkai, T. & Braithwaite, V. (2007). *Regulating aged care: Ritualism and the new pyramid*. Cheltenham, UK: Edward Elgar (372 pages).
- Braithwaite, V. (2009). *Defiance in Taxation and Governance: Resisting and Dismissing Authority in a Democracy*. Cheltenham, UK and Northampton, USA: Edward Elgar (365 pages).
- Braithwaite, J., Braithwaite, V., Cookson, M., & Dunn, L. (2010). *Anomie and Violence: Non-truth and Reconciliation in Indonesian Peacebuilding*. Canberra: ANU E-Press.
- Braithwaite, J., Dinnen, S., Allen, M., Braithwaite, V. & Charlesworth, H. (2010) *Pillars and Shadows: Statebuilding as Peacebuilding in Solomons Islands*. Canberra: ANU E-Press.
- Braithwaite, V. (in preparation) *Regulation and Social Capital*, under contract, Edward Elgar.

Edited Books

- Braithwaite, V. & Levi, M. (Eds) (1998). *Trust and governance*. New York: Russell Sage.
- Braithwaite, V. (Ed) (2003). *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate.
- Braithwaite, V. & Harris, N. (in preparation) *Understanding Responsive Regulation*.

Special Journal Issues

- Rawlings, G. & Braithwaite, V. (2003). Voices for change: Australian perspectives on tax administration. *Australian Journal of Social Issues*, 38 (August).
- Braithwaite, V. (2004). Hope, power, and governance. *The Annals of the American Academy of Political and Social Science*, 592.
- Braithwaite, V. (2007) Responsive regulation and taxation. *Law and Policy*, 29 (1).

Chapters in books

- Braithwaite, V.A. & Scott, W.A. (1991). Values. In J.P. Robinson, P. Shaver & L. Wrightsman (Eds.), *Measures of personality and social psychological attitudes*. New York: Academic Press (pp. 661-753).

- Braithwaite, V. (1992). The ageing experience: Loss, threat or challenge? In P. Heaven (Ed.), *Life span development*. Sydney: Harcourt Brace Jovanovich (pp. 268-301).
- Braithwaite, V. (1993). The Australian Government's Affirmative Action Legislation. In R. Callus, P. Dawkins & S. Dowrick (Eds.), *The economics and labour relations of Australian workplaces: quantitative approaches*. The University of Sydney: Australian Centre for Industrial Relations Research and Teaching, Monograph No. 10 (pp. 109-133).
- Braithwaite, V. (1994). EEO and HRM: Compatible positions but different interests. In E. M. Davis & V. A. Pratt (Eds.), *Making the link: affirmative action and industrial relations (No. 5)*. Canberra: Australian Government Publishing Service (pp. 34-37).
- Braithwaite, V. & McGown, A. (1996). Caregivers' emotional well-being and their capacity to learn about stroke. In J.P. Smith (Ed.), *Nursing care of adults*. Oxford: Blackwell Science (pp. 27-40). (reproduction of an article by Braithwaite and McGown (1992)).
- Braithwaite, V. (1997). Beliefs, attitudes and values. In K. Laster (Ed.), *Law as culture*. Sydney: Federation Press (p. 130).
- Braithwaite, V. (1997). Managing constitutional change from a psychological perspective. In M. Coper & G. Williams (Eds.), *Power, parliament, and the people*. Sydney: Federation Press (pp. 16-22).
- Braithwaite, V. (1998). Designing the process of workplace change through the Affirmative Action Act. In M. Gatens & A. Mackinnon (Eds.), *Gender and institutions: Welfare, work, and citizenship*. Cambridge: Cambridge University Press (pp. 107-128).
- Braithwaite, V. (1998). Communal and exchange trust norms, their value base and relevance to institutional trust. In V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 46-74).
- Levi, M. & Braithwaite, V. (1998). Introduction. In V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 1-5).
- Braithwaite, V. & Levi, M. (1998). Conclusion. In V. Braithwaite & M. Levi (Eds.), *Trust and governance*. New York: Russell Sage (pp. 376-380).
- Braithwaite, V. (2000). Values and restorative justice in schools. In H. Strang & J. Braithwaite (Eds.), *Restorative Justice: Philosophy to Practice*. Aldershot, UK: Ashgate (pp. 121-144).
- Braithwaite, V. & Braithwaite, J. (2001). An evolving compliance model for tax enforcement. In N. Shover & J. P. Wright (Eds.), *Crimes of privilege*. New York and Oxford: Oxford University Press (pp. 405-419).
- Braithwaite, V. & Blamey, R. (2001). Consenso, estabilidad y significado en los valores sociales abstractos. In M. Ros & V.V. Gouveia (Coords.), *Psicologia social de los valores humanos. Desarrollos teoricos, metodologicos y aplicados*. Madrid: Biblioteca Nueva Universidad (pp. 153-172).
- Braithwaite, V. & Braithwaite, J. (2001). Managing taxation compliance: The evolution of the Australian Taxation Office compliance model. In M. Walpole & C. Evans (Eds.), *Tax Administration in the 21st Century*. St. Leonards, Australia: Prospect Media Pty Ltd (pp. 215-224).

- Braithwaite, V. (2002). Reducing ageism. In T. D. Nelson (Ed.), *Ageism: Stereotyping and prejudice against older people*. Cambridge, Mass.: The MIT Press (pp. 311-337).
- Braithwaite, V., Ahmed, E., Morrison, B. & Reinhart, M. (2003). Researching prospects for restorative justice practice in schools: The Life at School Survey 1996-1999. In L. Walgrove (Ed.), *Repositioning restorative justice: Restorative justice, criminal justice and social context*. Cullompton, UK: Willan Publishing (pp. 165-185).
- Braithwaite, V. (2003). A new approach to tax compliance. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 1-11).
- Braithwaite, V. (2003). Dancing with tax authorities: Motivational postures and non-compliant actions. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 15-39).
- Braithwaite, V., Schneider, F., Reinhart, M. & Murphy, K. (2003). Charting the shoals of the cash economy. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 93-108).
- Braithwaite, V. (2003). Tax system integrity and compliance: The democratic management of the tax system. In V. Braithwaite (Ed.), *Taxing democracy: Understanding tax avoidance and evasion*. Aldershot, UK: Ashgate (pp. 269-287).
- Braithwaite, V., Reinhart, M. & Job, J. (2005) Getting on or getting by? Australians in the Cash Economy. In C. Bajada & F. Schneider (Eds), *Size, Causes and Consequences of the Underground Economy*. Aldershot, UK: Ashgate (pp. 55-69).
- Braithwaite, J., Ahmed, E. & Braithwaite, V. (2006) Shame, restorative justice and crime. In F. Cullen, J. Wright & K. Belvins (Eds), *Taking Stock: The Status of Criminological Theory, Advances in Criminological Theory, 15*. New Brunswick, NJ: Transaction Press (pp. 397-417).
- Braithwaite, J., Braithwaite, V. & Ahmed, E. (2006) Reintegrative shaming. In S. Henry & M. Lanier (Eds), *The Essential Criminology Reader*. Cambridge: Westview Press (pp. 286-295).
- Braithwaite, V. (2006) Is reintegrative shaming relevant to tax evasion and avoidance? In H. Elffers, P. Verboon & W. Huisman (Eds.), *Managing and Maintaining Compliance: Closing the Gap between Science and Practice*. Hague: Boom Legal Publishers (pp. 109-127).
- Braithwaite, V. & Wenzel, M. (2008) Integrating explanations of tax evasion and avoidance. In A. Lewis (Ed), *Cambridge Handbook of Psychology and Economic Behaviour*. Cambridge: Cambridge University Press (pp. 304-331).
- Braithwaite, V. (2009) Tax evasion. In M. Tonry (Ed), *Handbook on Crime and Public Policy*. Oxford: Oxford University Press (pp. 381- 405).
- Braithwaite, V., Reinhart, M. & Smart, M. (2010) Tax non-compliance among the under-30s: Knowledge, obligation or skepticism? In J. Alm, J. Martinez-Vazquez & B. Torgler (Eds), *Developing Alternative Frameworks for Explaining Tax Compliance*. London: Routledge (pp. 256-280).

- Braithwaite, V. (2013) Resistant and dismissive defiance toward tax authorities. In A. Crawford & A. Hucklesby (Eds.), *Legitimacy and Compliance in Criminal Justice*. Abingdon: Routledge.
- Braithwaite, V. (2013) Defiance and motivational postures. In D. Weisburd & G. Bruinsma (Eds), *Encyclopedia of Criminology and Criminal Justice*. Springer Verlag.
- Braithwaite, V. (in preparation) What makes a good regulator? In V. Braithwaite & N. Harris (Eds), *Understanding Responsive Regulation*.
- Braithwaite, V. (in preparation) Motivational posturing theory. In V. Braithwaite & N. Harris (Eds), *Understanding Responsive Regulation*.
- Braithwaite, V. (in preparation) Corporate and high wealth individual tax evasion and avoidance.

Government reports and public outreach

- Braithwaite, J., Makkai, T., Braithwaite, V., Gibson, D. & Ermann, D. (1990) The contribution of the standards monitoring process to the quality of nursing home life: A preliminary report. Canberra: Department of Health, Housing and Community Services (157 pages).
- Braithwaite, V. (1991) How are things going? An analysis of the database containing the 1989 public reports to the Affirmative Action Agency (16 pages).
- Braithwaite, J., Braithwaite, V., Gibson, D., Landau, M. & Makkai, T. (1992) The reliability and validity of nursing home standards. Canberra: Department of Health, Housing and Community Services, Monograph No. 4 (61 pages).
- Braithwaite, V. (1992) First steps: Business reactions to implementing the Affirmative Action Act. Report to the Affirmative Action Agency (116 pages).
- Braithwaite, J., Makkai, T., Braithwaite, V. & Gibson, D. (1993) Raising the standard: Resident centred nursing home regulation in Australia. Canberra: Department of Health, Housing and Community Services Monograph No. 10 (212 pages).
- Braithwaite, V. & Braithwaite, J. (1998) Early steps: Regulatory strategy and affirmative action. Report to the Department of Workplace Relations and Small Business (38 pages).
- Braithwaite, V., Pollitt, P. & Roach, G. (1998) A cameo of re-creation: The Caregiver Recreational Respite Program. Report to the Department of Health and Family Services (188 pages).
- Morrison, B. & Braithwaite, V. (1998) Shame management and social reintegration for bullies and victims: The Prism Project. Interim Report to the Criminology Research Council (35 pages).
- Braithwaite, V. & Reinhart, M. (2000) The Taxpayers' Charter: Does the Australian Tax Office comply and who benefits? Centre for Tax System Integrity Working Paper 1, Australian National University.
- Braithwaite, V. (2001) The Community Hopes, Fears and Actions Survey: Goals and measures, Centre for Tax System Integrity Working Paper 2, Australian National University.

- Braithwaite, V., Reinhart, M., Mearns, M. & Graham, R. (2001) Preliminary findings from the Community Hopes, Fears and Actions Survey, Centre for Tax System Integrity Working Paper 3, Australian National University.
- Mearns, M. & Braithwaite, V. (2001) The Community Hopes, Fears and Actions Survey: Survey method, sample representativeness and data quality, Centre for Tax System Integrity Working Paper 4, Australian National University.
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